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## UTILITY PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

U.S. Patent and Trade	PTO/SB/05 (08-03) roved for use through 07/31/2006. OMB 0651-0032 mark Office. U.S. DEPARTMENT OF COMMERCE tition unless it displays a valid OMB control number.
Attorney Docket No.	PV-1010
First Inventor	Esch, Victor
Title	LENS SYSTEM AND METHOD FOR POWER ADJUSTMENT
Evoress Mail Lahel No	EV291326795US

	Mail Stop Patent Application						
APPLICATION ELEMENTS	ADDRESS TO. Commissioner for Patents						
See MPEP chapter 600 concerning utility patent application contents.	P.O. Box 1450 Alexandria VA 22313-1450						
1. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Applicant claims small entity status. See 37 CFR 1.27. 3. Specification [Total Pages_38_] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Summary of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure  4. Drawing(s) (35 U.S.C. 113) [Total Sheets] 5. Oath or Declaration [Total Sheets] 1. Newly executed (original or copy)  b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)	P.O. Box 1450 Alexandria VA 22313-1450  7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF)  b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. Paper c. Statements verifying identity of above copies  ACCOMPANYING APPLICATION PARTS  9. Assignment Papers (cover sheet & document(s)) 10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) 11. Power of (when there is an assignee) 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations 13. Preliminary Amendment 14. Return Receipt Postcard (MPEP 503)						
i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s)	(Should be specifically itemized) 15. Certified Copy of Priority Document(s)						
name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	(if foreign priority is claimed)  16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35						
6. Application Data Sheet. See 37 CFR 1.76	or its equivalent.  17. Other: Combined Dec/POA (unsigned); Return Postcard						
18. If a CONTINUING APPLICATION, check appropriate box, and supposition following the title, or in an Application Data Sheet under 3							
Continuation Divisional Continu	ation-in-part (CIP) of prior application No.:						
Prior application information: Examiner  For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.							
19. CORRESPON	DENCE ADDRESS						
Customer Number:	OR Correspondence address below						
Name 35023							
Address PATENT TRADEMARK OFFICE							
City	State Zip Code						
	Telephone Fax						
Name (Print/Type) Nicola A. Pisano	Registration No. (Attorney/Agent)   34,408						
Signature Length 1 Crams	Date November 19, 2003						

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to re

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ffecti	ive 1	10/01/	2003	Pate	nt fe	es are	sub	iect to	o ai	าทบล	l rev	rision	1.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 509

espond to a collection of info	imation unless it displays a valid Olvib control number.
Co	omplete if Known
Application Number	Unknown
Filing Date	November 19, 2003
First Named Inventor	Esch, Victor
Examiner Name	Unknown
Art Unit	Unknown
Attorney Docket No.	PV-1010

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None	3. ADDITIONAL FEES					
Order Order	<u>Large</u>	_arge Entity   Small Entity				
Denosit	Fee Code		Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number 50-2298	1051	130	2051	• • •	Surcharge - late filing fee or oath	1001310
Deposit Luce Forward Hamilton & Carinna	1052	50	2052	25	Surcharge - late provisional filing fee or	
Name Luce, Forward, Harrillon & Scripps	1053	130	1053	120	cover sheet Non-English specification	
The Director is authorized to: (check all that apply)	1	2,520	1812		For filing a request for ex parte reexamination	
Credit any overpayments	1804	920*	1804	_,	Requesting publication of SIR prior to	
Charge any additional fee(s) or any underpayment of fee(s)	1004	52.0	1004	320	Examiner action	
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
to the above-identified deposit account.	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	420	2252	210	Extension for reply within second month	
1. BASIC FILING FEE Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Description Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month	
Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month	
1001 770 2001 363 Guilly lilling fee 385.00	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402		Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 385	1452	110	2452	55	Petition to revive - unavoidable	
	1453	1,330	2453	665	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
Extra Claims below Fee Paid  Total Claims 29 -20** = 9 x 9 = 81	1502	480	2502		Design issue fee	
Total Claims   29   -20** = 9   X   9   = 81	1503	640	2503		Plant issue fee	
Claims 4 - 3 = 1 \ A 43 = 45 \ Multiple Dependent = 0	1460	130	1460		Petitions to the Commissioner	
	1807	50	180		Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$) Code (\$)	8021	40	802	1 40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20	1809	770	2809		Filing a submission after final rejection	
1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	7 205	(37 CFR 1.129(a)) For each additional invention to be	
	1010	110	2010	<i>J</i> 300	examined (37 CFR 1.129(b))	
1204 86 2204 43 ** Reissue independent claims over original patent		770	2801	385	Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 124	Other	fee (sp	ecify)_			
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0					

(Complete (if applicable)) SUBMITTED BY Registration No. 34,408 Telephone 858-720-6320 Name (Print/Type) Nicola A. Bisano November 19, 2003 Date ran Signature

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re applicate Victor Esch	tion of:	)	•
		)	Group Art Unit: Unknown
Serial No.:	Unknown	)	•
Filed:	November 19, 2003	)	Examiner: Unknown
For:	LENS SYSTEM AND METHOD	)	
	FOR POWER ADJUSTMENT	)	
		)	
		)	

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **CERTIFICATE OF EXPRESS MAILING**

Dear Sir or Madam:

"Express Mail" mailing label No. EV291326795US

Date of Deposit: November 19, 2003

I hereby certify that a Utility Patent Application Transmittal and Fee Transmittal (in duplicate), Utility Patent Application including the Specification and Claims (38 pages), Drawings (FIGURES 1 – 10B on 8 pages), Declaration and Power of Attorney for Patent Application, Application Data Sheet, and return postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to the Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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